

IPW

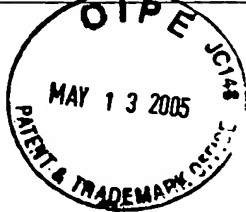
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Nobutoshi Asai, et al.  
SERIAL NO. 10/691,406  
FILING DATE: October 22, 2003  
INVENTION: "DISPLAY UNIT AND ITS MANUFACTURING METHOD"

ATTORNEY DOCKET NO. 09792909-5700  
GROUP ART UNIT: 2821  
EXAMINER: Unknown

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
  - ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
  - ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☒ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$\_\_ to cover any required fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge the fee of \$\_\_\_\_ applicants' attorney's American Express Account Form 2038 is enclosed for this purpose.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. A duplicate copy of this sheet is enclosed for this purpose.

Very respectfully,

SONNENSCHN NATH & ROSENTHAL LLP

By David R. Metzger, Reg. 32,919

When phoning re this application,  
please call (312) 876-8000 Ext. 2578

David R. Metzger  
Sonnenschein, Nath & Rosenthal  
P.O. Box #061080  
Wacker Drive Station - Sears Tower  
Chicago, IL 60606  
Telephone 312/876-8000  
Customer ID #26263

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 11, 2005.

K. T. [Signature]



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE STATEMENT**

APPLICANT(S): Nobutoshi Asai, et al. ATTY DOCKET NO.: 09792909-5700  
SERIAL NO.: 10/691,406 GROUP ART UNIT: 2821  
DATE FILED: October 22, 2003 EXAMINER: Unknown  
INVENTION: "DISPLAY UNIT AND ITS MANUFACTURING METHOD"

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. § 1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

**I. SUBMITTED US PATENT REFERENCES**

	<u>Number</u>	<u>Inventor(s)</u>	<u>Date of Publication</u>
AA	2002/0057413	Y. Sumida, et al.	May 16, 2002
AB	2002/0084952	R. Morley, et al.	July 4, 2002
AC	6,331,915	K. Myers	December 18, 2001

**II. SUBMITTED FOREIGN PATENT REFERENCES**

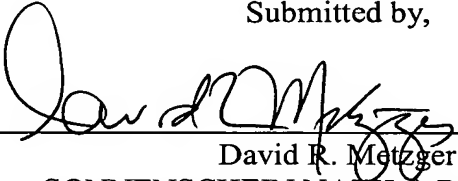
	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
AL	WO 02/37580 A1	World	May 10, 2002
AM	JP11-329742	Japan	November 30, 1999
AN	JP09-326297	Japan	December 16, 1997
AO	JP10-172756	Japan	June 26, 1998

III. OTHER ITEMS OF INFORMATION

IV. EXPLANATION OF RELEVANCE

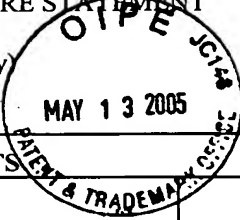
The above-identified references were identified in a search report issued in counterpart Singaporean application No. 200306279-1. Copies of the Singaporean search report of March 28, 2005, and the references cited therein are attached hereto.

Submitted by,

  
\_\_\_\_\_  
(Reg. 32,919)

David R. Metzger  
SONNENSCHN NATH & ROSENTHAL  
P.O. Box 061080  
Wacker Drive Station  
Sears Tower  
Chicago, IL 60606-1080  
Telephone: 312/876-8000  
Customer #26263  
Attorneys for Applicant(s)

37 CFR 1.501  
 INFORMATION DISCLOSURE STATEMENT  
 IN A PATENT  
 (use several sheets if necessary)



Docket No.  
 09792909-5700

Serial No.  
 10/691,406

Applicants:  
 Nobutoshi Asai, et al.

Filing Date  
 October 22, 2003

Group Art Unit  
 2821

### U.S. PATENT DOCUMENTS

Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If appropriate
	AA	2002/0057413	5-16-02	Sumida, et al.			
	AB	2002/0084952	7-4-02	Morley, et al.			
	AC	6,331,915	12-18-01	Myers			
	AD						
	AE						
	AF						
	AG						

### FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AH	WO 02/37580 A1	5-10-02	World				
	AI	JP11-329742	11-30-99	Japan			Abstract	
	AJ	JP09-326297	12-16-97	Japan			Abstract	
	AK	JP10-172756	12-13-96	Japan			Abstract	
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							
	AR							
	AS							
	AT							

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AU	
	AV	
	AW	
	AX	
	AY	
	AZ	

Examiner

Date Considered

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.